



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Of:

KENNETH PAWSON ET AL

Serial No.: 09/577,560

Filed: May 24, 2000

Group Art Unit: 3748

Examiner:

SPACE EFFICIENT EXHAUST  
AFTERTREATMENT FILTER

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
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ASSISTANT COMMISSIONER FOR PATENTS,  
Washington, D.C. 20231, this 12th day of  
September, 2000.

Dawn M. Oleszak  
Dawn M. Oleszak

9-12-00  
Date

RESPONSE

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Responsive to the "Notice To Filing Missing Parts Of Nonprovisional Application - Filing Date Granted" mailed August 10, 2000, applicant respectfully notes that the signature of inventor Kenneth Pawson is not missing from the Declaration. Two Declarations were submitted, copies enclosed. One of the Declarations contained the signature of inventor William C. Haberkamp, and the other Declaration contained the signature of inventor Kenneth Pawson.

The above should be fully responsive to the Office Action mailed August 10, 2000, and toll any response due date thereto.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

By Michael E. Taken

Michael E. Taken  
Reg. No. 28,120

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Attorney Docket No.: 4191-00014

Please type a plus sign (+) inside this box [+]

Patent and Trademark Office: U.S. Department of Commerce

0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number 09/577,560
		Filing Date May 24, 2000
		First Named Inventor Kenneth Pawsop
		Group Art Unit 3748
		Examiner Name
Total Number of pages in this Submission 9		Attorney Docket Number 4191-00014

### TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

#### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Response
- ☐ After final
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement/PTO-1449
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/  
Incomplete Application
- ☐ Response to Missing  
Parts Under 37 1.52 or 1.53

- ☐ Assignment Papers  
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Checklist and  
Accompanying Petition
- ☐ To Convert a Provisional  
Application
- ☐ Power of Attorney,  
Revocation, Change of  
Correspondence Address
- ☐ Terminal Disclaimer

- ☐ After Allowance  
Communication To Group
- ☐ Appeal Communication to  
Board Of Appeals and  
Interferences
- ☐ Appeal Communication to  
Group ( Appeal Notice, Brief,  
Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s)  
(Please identify below)

Return receipt postcard

Remarks

#### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Michael E. Taken, Reg. No. 28,120 ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	<i>Michael E. Taken</i>
Date	9/12/00

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:

September 12, 2000

Typed or printed name	Dawn M. Oleszak		
Signature	<i>Dawn M. Oleszak</i>	Date	9-12-00

## FORMALITIES LETTER

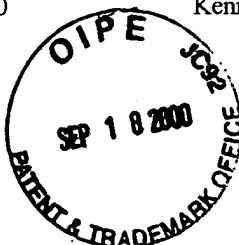


\*OC00000005255703\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/577,560	05/24/2000	Kenneth Pawson	4191-00014

Michael E Taken  
Andrus Sceales Starke & Sawall LLP  
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Milwaukee, WI 53202-4178



Date Mailed: 08/10/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Kenneth Pawson*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE